

# Academic Career Pathways 2024 (Research & Teaching)

Applicant Guidance **2023-2024** 

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#### Other Available Guidance

Applicants are also encouraged to review the following guidance documents:

- General Information (Applicable to all)
- Assessment and Scoring

If you have specific queries about your application, you should contact the appropriate Faculty Committee Secretary. Any general enquiries about the scheme / process should be directed to ACP R&T Inbox: <a href="mailto:acp@admin.cam.ac.uk">acp@admin.cam.ac.uk</a>

# **Eligibility**

As a member of academic staff you are eligible to apply for promotion/progression unless an exclusion or exception applies (see below). If there is any doubt as to the eligibility of a prospective applicant, the Chair of the Human Resources Committee will rule on the matter on behalf of the GB. Exceptionally other staff may be eligible to apply (see below).

When considering making an application you should seek appropriate mentoring and advice from your Head of Institution, or appropriate senior academic colleague. You should discuss whether it is the right time to make an application and how you meet the Assessment Criteria.

You would normally be expected to have been in your current post for at least 12 months before making an application. Exceptionally, this period may be waived where you are considered ready for promotion by your Head of Institution. Any exceptions require approval from the FC and support by the SC.

If you were unsuccessful in an application in one year's exercise you may reapply in a subsequent exercise, on the basis that each application must be judged on its own merits and a maximum of two applications in any rolling three-year period is permissible. An exemption to this rule may be granted in exceptional circumstances, provided that it has the support of your Head of Institution and Head of School. Such support should be evidenced in written statements, explaining the reasons for the exemption.



#### **Exclusions**

The University aims to be a leader in driving an inclusive culture of mutual respect and in promoting a positive working environment for all in its community. High standards of conduct are therefore expected from all staff. Formal sanctions may be taken into account when assessing an applicant's suitability for promotion; those with a live disciplinary warning on file may be excluded from applying for promotion.

The ACP R&T - Pay Progression Scheme B does not apply to clinical academics who remain on their current clinical award scheme, which is subject to NHS consultant salary progression pay rules.

## **Further Eligibility Information**

#### **Professorships (Grade 11 and 12)**

Holders of University offices whose duties are primarily concerned with research/scholarship or teaching and research/scholarship are eligible for promotion to these offices. Exceptionally, holders of University offices whose duties are not primarily concerned with either teaching or research or both may be eligible for consideration if they are known to have made a significant contribution to research in addition to fulfilling the duties of the office they currently hold. In these circumstances, advice should be sought from the relevant Head of Institution.

A person who does not hold an office listed in the Schedule to Special Ordinance C(i) 1 of the Statutes would only be promoted to a personal Professorship on condition that their duties after promotion remain principally those of the office from which they have been promoted.

#### **Clinical Professorships**

Holders of University offices whose duties are primarily concerned with research/scholarship or teaching and research/scholarship, who also hold an honorary consultant contract (i.e. are registered with the General Medical Council (GMC), the Nursing and Midwifery Council (NMC) or the Health and Care Professions Council (HCPC) with a licence to practice and, if GMC-registered as consultant level, be on the specialist register) are eligible for promotion to a Clinical Professorship. They cannot apply for Professorships (Grade 11 or 12).

#### **Associate Professorships (Grade 10)**

Only established Assistant Professors or Associate Professors (Grade 9) may be considered for promotion to established Associate Professorships (Grade 10).

The holders of unestablished posts whose contracts of employment specify the title "Assistant Professor", or "Associate Professor (Grade 9)" may be considered for promotion to the unestablished post of Associate Professor (Grade 10). The period of the appointment would be from the date of the promotion to the end date of their current tenure. Holders of these posts should discuss the matter of their possible promotion with their Head of Institution before deciding whether or not to submit an application for promotion.

In all cases, institutions should ensure that sufficient funding is identified to support applications for promotion and ongoing salary costs. Queries concerned with funding should be raised with the relevant School Finance Manager in the first instance.

# Staff with curatorial, conservation and associated responsibilities

Those holding a Grade 9 office or unestablished post with curatorial, conservation and associated responsibilities in the Museum of Archaeology and Anthropology, the Whipple Museum of the History of Science and the Fitzwilliam Museum are now eligible to apply for promotion to Grade 10. Ordinarily, holders of the office of Associate Professor (Grade 10) are required to teach a minimum of 30 hours a year. However, Section 3(d) of Special Ordinance C has been added to allow for the Faculty Board or other authority concerned to determine the amounts of teaching to be given by officers undertaking curatorial and associated responsibilities in that institution.

When submitting the application via the online application portal, curators and associated staff wishing to apply for promotion to Grade 10 should select the option "Associate Professor (Grade 10)". However, should their application for promotion to Grade 10 be successful, their new title would be determined as appropriate by their employing department i.e., their new title will not be Associate Professor (Grade 10).

For more information on the assessment of applications from staff with curatorial, conservation and associated responsibilities, please refer to the Scoring section of the guidance.

It is acknowledged that those in these roles are more focused on research and service than teaching, and as such, it is expected that applications from these employees will be considered under Option 1, the research-weighted scoring route for applications for this office/position.

For the avoidance of doubt, these individuals are also eligible to apply for promotion to Professor (Grade 11) or Professor (Grade 12).

#### Research staff

The normal promotion route is to the post of Principal Research Associate (Grade 11) or Director of Research (Grade 12). (See updated Senior Researcher Promotions (SRP) scheme procedure and guidance to be issued and made available on the HR Division website shortly). Advice in relation to particular cases can be obtained from the relevant HR Schools Team.

The Head of Institution should provide an opportunity for discussing the appropriate way forward with members of staff whom they consider to have a reasonable prospect of promotion, whether through an application under the ACP R&T Scheme or under the SRP scheme.

If there is any doubt as to the eligibility of a prospective applicant, the Chair of the Human Resources Committee will rule on the matter on behalf of the General Board.

## **Application for Promotion**

You are responsible for preparing and submitting your application to the Secretary of the FC for the institution to which your office or post is assigned via the online portal and by the deadline specified in the Timetable. You should complete the relevant online application and provide evidence and examples that best support your case for promotion / progression and clearly demonstrate how you meet the **Assessment Criteria**, referring to the **Indicators of Excellence** for guidance. This section sets out certain requirements relating to the form and basic content of required information.

Applicants are encouraged to present their case for promotion in a concise manner, avoiding duplication where possible.

Applicants are expected to apply the principles of the San Francisco Declaration of Research Assessment (DORA). More information on DORA can be viewed <a href="here">here</a>.

Those who applied for the same office in the previous year's exercise (2023) will be considered re-applicants. Please refer to the section on references for further details.

## What to include in your application

When completing the application form via the applicant portal, you will be guided through the following sections of the form:

#### **Application Details**

- Which office you are applying for, choosing from Professor (Grade 12), Professor (Grade 11), Associate Professor (Grade 10) or Clinical Professor.
- If you are applying for an Associate
   Professorship (Grade 10), you must indicate whether you want your application to be evaluated by reference to Option 1 weighting (research-weighted) or Option 2 weighting (teaching-weighted). These options are explained in more detail in the Scoring section. You are advised to discuss this issue with your Head of Institution and/or CV mentor.
- If you are applying for a Professorship (Grade
  12), Professorship (Grade 11) or a Clinical
  Professorship, you will be asked to provide the
  proposed title for your Professorship/Clinical
  Professorship, should your application for
  promotion be successful. Your title should be
  relevant to your field, and you should discuss

- and agree the proposed title with your Head of Institution before submitting your application.
- You will be asked if your application is
   interdisciplinary/cross-departmental. If you
   believe your application is, please ensure your
   personal statement explains the
   interdisciplinary/cross-departmental nature of
   your work and indicates those institutions
   which your work mostly concerns.
- You will be asked if you believe that contextual factors and/or COVID impact factors should be taken into consideration when evaluating your application. More details on this can be found on page 7.

#### **Personal Details**

These details will be pre-populated but can be edited if necessary. If you use a different surname professionally, please provide it in this section.

#### **Personal Statement**

Please refer to page 7 for more details.

#### **Personal Statement**

You must include a personal statement\* in support of your application, which explains your case for promotion, and demonstrates how you meet the <a href="Assessment Criteria">Assessment Criteria</a> of the office to which you are applying. Where applicable, you should highlight information about your achievements since your last promotion.

With regard to the evidence provided of research/scholarship, you should make clear your role and contribution in large, multi-author publications. You might also wish to highlight key advances set out in your papers. If your research results do not take the form of conventional scholarly publications, you should provide information about this. With regard to researcher development (where applicable), you should include a self-assessment of the impact of your work on your research team.

If you consider your teaching and/or research to be <u>interdisciplinary/cross-departmental</u>, you should explain clearly the interdisciplinary/cross-departmental aspects of your work and indicate which of the University institutions your work mostly concerns.

Student feedback is an important factor in assessing the effectiveness of teaching, course development and innovation. Therefore, your self-assessment should take into account student feedback on the courses you have taught or are teaching. The Head of Institution may comment on this self-assessment in the Institutional Statement. It is acknowledged that some institutions do not have formal processes for gathering student feedback. In these cases, reference to informal student feedback can be included, although such feedback should not be directly solicited from students.

With regard to teaching duties (if applicable), you should include a self-assessment of the impact of your work on students.

# **Contextual Factors and COVID Impact Statements**

You are encouraged to record any <u>Contextual</u> <u>Factors</u> that have affected your performance over the last five years. Contextual factors may include, but are not limited to: part-time working, ill health, disability, caring responsibilities and periods of prolonged leave such as maternity, parental or bereavement. These details should be provided in the separate Contextual Factors section, which has a limit of 500 words.

The University recognises that many research and teaching staff will have faced difficulties in carrying out their usual duties during the COVID-19 pandemic. We therefore welcome a COVID Impact Statement (limit of 1,000 words) from you detailing the impact on your current or future work, where relevant. This may include issues with access to labs and other resources due to building closures, access to primary data or opportunities to travel abroad to disseminate research or other circumstances. It may also include impacts as a result of caring responsibilities for children and/or other dependents, mental health issues such as heightened stress and anxiety over this period, or other disability related impacts.

If you choose to provide Contextual Factors which include health-related matters, the Faculty Committee assessing your application may refer you to Occupational Health, in order to obtain specialist medical advice about the impact of these matters on your duties. It is important to note that providing Contextual Factors and/or a COVID Impact Statement will not have a detrimental effect on any application for promotion, nor will an Occupational Health referral.

<sup>\*</sup>Please note, the personal statement has a word limit of 1.000 words.

### **Curriculum Vitae**

This section can be completed using the online layout on the form or uploaded as a PDF. Your CV should include:

- **Professional history**, including all current and previous professional appointments held. Please include start dates and (where applicable) end dates.
- **Education and Qualifications**, including details of degrees, diplomas and other qualifications, and where and when obtained.
- **Appointments and Affiliations**, including memberships of professional bodies, learned societies, advisory bodies, peer review activities (Grants, journals, books etc), editorships etc, with start, and where relevant, end dates.
- Prizes, Awards and Honours, including elections to prestigious
  professional/scientific bodies, providing the full name of the awarding/electing body
  and the date (year) of award/election.

## Research and Research Leadership

In this section, you will be asked to provide information in the following sub-sections:

- **Grants:** details of major external grants and contracts awarded (including values and dates), both current and over the last 5 years, together with the names of coinvestigators where applicable.
- **Publications:** please refer to page 9 for more details on this.
- **Talks and Research Associations**, including a list of major lectures/seminars, or other research presentations (with month and year), and details of postdoctoral and other researchers, including visiting academics, with whom you are or have been directly associated in the recent past.
- Other Scholarly Contributions, which can be additional information about other contributions or work that you wish to be taken into account that has not already been set out in your application.

#### **Publications**

You should include details of your publications, as follows:

- An up-to-date list of publications, set out in accordance with the conventions of the relevant academic discipline.
- The publication list should include only work that has been published, is in the public domain, and is available for consideration.
- Work in progress or completed but not yet published, including proofs and pre-prints, must not be included;
- Citation data, in disciplines where this is appropriate, may be included; consideration of an application will not be prejudiced if citation data are not included.
- Copies of publications must **not** be included.
- The publication list should be in a clear chronological order, stating for each publication (including any books) the year of publication, and page numbers and number of pages (where available\*). Peerreviewed publications should be listed separately. Where relevant, you should clearly mark publications since your last promotion.
- Please note the points above are intended as guidance only. Applicants are encouraged to follow disciplinary norms when preparing their publications list, which may differ from the above.

#### Definition of "published":

Work is regarded as published if it is traceable in ordinary catalogues and if copies are obtainable at the time of application, or at some previous time, by members of the public through normal trade channels; proofs of papers and pre-prints not yet published are not submissible.

The list can include work published electronically where it is regarded as published in the same formal sense as a journal or book. This includes free electronic journals that are refereed and accessible to the public. Placing a paper on a University webpage does not count as publication but electronic publication of invited and/or contributed talks published as part of the proceedings of a Higher Education Institution or related body is acceptable provided hard copies are available in published form.

#### Non-standard contributions:

For disciplines where the communication of research results is not, or is only partly, in the form of conventional scholarly publication, other forms of contribution should be listed.

#### Co-authored and multi-authored publications

Applicants should provide details of their role and contribution in co-authored and multi-authored publications, as explained in the section on the <u>Personal Statement</u>.

<sup>\*</sup>It is recognised that page numbers and numbers of pages may not be available for online publications.

## **Teaching and/or Researcher Development**

Evidence of teaching and/or researcher development (to the extent relevant in each case) should include:

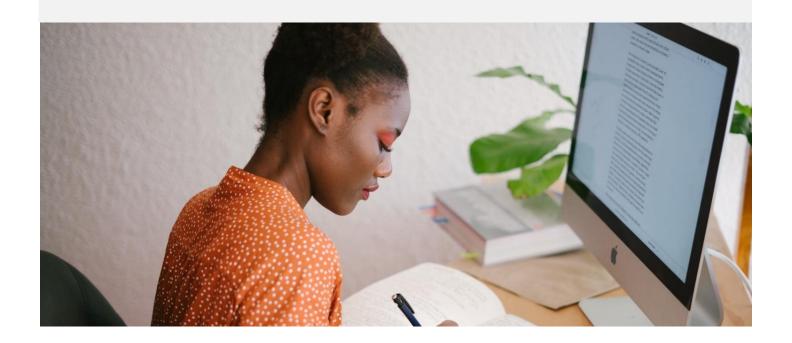
- A record of all under and postgraduate courses taught over such a period as to demonstrate evidence of fulfilment of the teaching criteria (normally not less than three years).
- An up-to-date list of postgraduate students formally supervised, including results, over the period of employment.
- Details of course developments and pedagogical innovation.
- The annual number of hours of teaching undertaken in your Institution (stint).
- Details of administrative work that the Institution has agreed to be equivalent to part of the annual teaching stint.
- Details of any regular and substantial contribution to the teaching programmes of other Institutions.
- Details of research groups over such a period as you consider necessary;
- Summary of examining duties.

Samples of course descriptions, hand-outs, bibliographies, summary evidence of student and/or researcher feedback may be included, up to a **maximum** of ten sides of A4.

If your duties do not include teaching, or you have been formally dispensed from discharging teaching duties on a temporary basis, you should make this clear in your application, giving the reasons and dates.

If you have been formally dispensed from discharging teaching duties on a temporary basis, but wish your teaching contribution to be assessed, you should make this clear in your application to allow your teaching contribution to be assessed by the Committees (as part of the Teaching and Researcher Development criterion). You should provide evidence of this contribution while in employment at the University and/or in Colleges over at least the previous three years prior to the dispensation.

**Please note**, only teaching conducted at the University of Cambridge/its Colleges can be considered as part of your application.





#### **College Teaching**

You may include details of College(s) teaching and work undertaken as a College Director of Studies, in which case the name and College of the Senior Tutor should be given.



#### **Clinical Work and Postgraduate Medical Teaching and Training**

If you hold an Honorary NHS consultant contract, you should provide details of your contribution to postgraduate medical education and training. Information provided in relation to teaching will be considered under the teaching criteria and information provided in relation to clinical duties will be considered under Service to the University and Academic Community.



#### Clinical Veterinary Work and Postgraduate Veterinary Teaching and Training

If you are engaged in veterinary clinical work, you should provide details of your contribution to postgraduate veterinary teaching and training. Information provided in relation to teaching will be considered under the teaching criteria and information provided in relation to clinical duties will be considered under Service to the University and Academic Community.

## **Service to the University and Academic Community**

You should provide a list of contributions other than in teaching and research undertaken in your Institution/School/University and any service to the academic community outside the University that you wish to have considered. This might include service on the central University bodies, working parties, reviews, engagement in widening participation activity, the design and delivery of outreach programmes, contribution to the subject undertaken outside the University, editorial work, contribution to academic societies and meetings, details of research management, of research groups and the creation and management of multi-institutional national/international research facilities. It may also include public engagement work.

If you hold an Honorary NHS consultant contract you should include details of your participation in regional and national committees (e.g., Royal Colleges, General Medical Council) and bodies concerned with undergraduate and postgraduate medical education, as well as details of your clinical duties.

If you are engaged in clinical veterinary work you should include details of your participation in regional and national committees and bodies concerned with postgraduate veterinary education, as well as details of your clinical duties.

#### References

You are required to provide names, titles and contact details of nominated referees and must declare any conflict of interest or areas of collaboration. It is recommended that you do not nominate close colleagues as referees. Your PhD supervisor or academics with whom you have collaborated on their research may be nominated as referees, as appropriate, but they will be required to declare their interest as set out in the letter requesting the reference.

A referee's input is critical in enabling a full and objective assessment of an applicant's contribution. Referees are therefore requested to comment across the entire range of your duties with explicit reference to the relevant <a href="#">Assessment Criteria</a> and to provide a full and frank appraisal of your suitability for promotion/progression.

Referees can provide useful insights into all aspects of your work, but are especially important in assessing your contribution and standing in scholarship and research.

Referees' reports are subject to the strictest confidentiality; however, referees will be made aware that in providing a reference, they give their explicit permission for the use of that reference for consideration under the ACP R&T scheme. Confidential references normally are exempt from disclosure to the applicant under the terms of data protection legislation. Despite this, we often receive requests from applicants for copies of their references. By default we will not disclose references without checking with the referee first.

Additional references might be sought in the case of an interdisciplinary/cross-departmental application or internal nomination from cognate subject areas.

Prior to nominating referees, both applicants and Faculty Committees must confirm with the individuals they wish to nominate that they are willing and able to provide a reference.

Application	Applicant nominates	Faculty Committee nominates	Total Referees
Professorship (G11 and G12) and Clinical Professorship	2 (+ 1 reserve)	3 (+ 1 reserve)	5
Associate Professor (G10) (Progression / Promotion Scheme A)	1 (+ 1 reserve)	1 (+ 1 reserve)	2

Table 2: Summary of first-time application referencing requirements

## **Re-applicants**

For re-applicants, i.e., those who unsuccessfully applied for the same office in the ACP R&T 2023 exercise, the references from the previous round are carried forward and additional references are required, as set out in Table 3 below.

A referee cited in a previous application should only be requested to update the earlier reference if there have been significant changes in the applicant's publication record or other circumstances relating to the case for promotion since the referee was last approached. Updated references are counted as additional references. References relating to the previous application for the same office should be listed and carried forward.

Application	Applicant nominates	Faculty Committee nominates	Total Referees
Professorship (G11 and G12) and Clinical Professorship	1 (+ 1 reserve)	2 (+ 1 reserve)	3
Associate Professor (G10) (Progression / Promotion Scheme A)	1 (+ 1 reserve)	1 (+ 1 reserve)	2

Table 3: Summary of re-applicant referee requirements (**additional** to the references carried forward from the previous year)

#### **Statements**

In certain circumstances, additional statements will be sought in support of an individual's application, as follows:

- If an applicant requests that their **College teaching or work as** a **Director of Studies** be taken into account, an additional statement will be required from the Senior Tutor of the College at which the applicant has regularly undertaken the greater part of their College teaching (the details of which the applicant should provide in their application). The statement should provide a factual description of the scope and amount of such teaching work, and comment on the effectiveness of the applicant's contribution.
- For clinicians who hold an honorary clinical NHS consultant contract, an
  additional statement will be requested from the appropriate NHS Trust to provide
  comment on the applicant's role and effectiveness of their contribution to clinical
  work and postgraduate medical teaching and training.
- For applicants engaged in **clinical veterinary work and postgraduate veterinary teaching and training**, an additional statement will be required from the relevant Clinical Manager to provide comment on the applicant's role and the effectiveness of their contribution to clinical work, including postgraduate veterinary teaching and training. The information provided in relation to teaching will be considered in relation to the teaching criteria, and the information provided in relation to clinical duties will be considered in relation to the service criterion.

## Promotion to a Professorship (Grades 11 and 12) and Clinical Professorship

Referees for promotion to a Professorship (Grades 11 and 12) or a Clinical Professorship should normally be external to the University but there may be circumstances where it is appropriate to nominate referees from cognate subject areas in the University.

In choosing your nominated referees, you should note that referees are expected to be international leaders in their field, research active and familiar with your field of research. At least one of the referees should be able to comment on your service to the academic community externally.

#### Progression to Associate Professor Grade 10 (Associate Professor Progression / Promotion Scheme A)

For progression / promotion to Associate Professor (Grade 10), two references are required, at least one of which should be internal and one of the referees should be able to comment authoritatively on the quantity of teaching and service to the University and academic community.

In choosing your nominated referees, you should note that referees are expected to be leaders in their field, research active and familiar with your field of research. You must supply the details of one internal referee and one reserve and the FC will provide the details of one referee and one reserve.

#### Mentoring and the ACP CV Scheme

The ACP CV Scheme is available to all staff considering applying for promotion. This Scheme plays a particular role in supporting women and academic staff from other underrepresented groups.

Mentors are senior academics who have extensive experience of the University's career development schemes and are willing to review the mentee's CV and/or application documentation and provide feedback in a confidential and supportive setting. Please visit the ACP CV Scheme website for further information: <a href="https://www.equality.admin.cam.ac.uk/initiatives/academic-career-pathways-cv-scheme">https://www.equality.admin.cam.ac.uk/initiatives/academic-career-pathways-cv-scheme</a>

Please send any queries to the dedicated email address: ACP\_CV@admin.cam.ac.uk.

Further information on Mentoring can be found at: <a href="https://www.ppd.admin.cam.ac.uk/professional-development/mentoring-university-cambridge.">https://www.ppd.admin.cam.ac.uk/professional-development/mentoring-university-cambridge.</a>



#### **Submission**

The completed application should be submitted to the Secretary of the Faculty Committee via the online portal and by the deadline date stated in the <u>Timetable</u>. Guidance on using the new ACP portal can be found in the <u>Applicant User Guide</u>: <u>Online System</u>.

#### **Outcomes**

You will be notified of the outcome of your application after the GB meeting that considers ACP R&T recommendations.

#### **Unsuccessful Applications**

If your application is unsuccessful and you wish to receive feedback, you should request this from your Head of Institution by the deadline set out in the timetable.

You may ask for the feedback statement set out in the SC minutes to be carried forward for information to your next application under the ACP R&T scheme. This option is intended to help you demonstrate how you have responded to feedback and further strengthened your application.

# **Pay Progression Scheme B**

## **Associate Professors (Grade 10)**

Assistant Professors and Associate Professors at Grade 9 wishing to apply for progression/promotion to Grade 10 should use the ACP R&T Progression/Promotion Scheme A.

The ACP R&T Pay Progression Scheme B is for Associate Professors who are already at Grade 10 and wishing to progress to the higher salary spine points in recognition for significant and sustained contributions other than through research. The assessment of contribution by the Associate Professor (G10) should clearly relate to the institution's strategic plans and recognise the Associate Professor's achievements in teaching and service to the academic community that is likely to contribute to the future academic success of the University.

It should be noted that Scheme B will not apply to clinical academics who will remain on their current clinical award scheme and subject to NHS consultant salary progression pay rules.

Contribution increments may be awarded to applicants who can show outstanding and sustained excellence in teaching, and outstanding and sustained service and contributions to the University and the academic community beyond the University. The Head of Institution will be asked for a supporting statement and evidence to demonstrate how the applicant meets the criteria. Applicants are responsible for preparing and submitting their application to the Secretary of the FC for the institution to which their office or

post is assigned by the deadline date specified in the timetable for that year's scheme. Applicants should complete the relevant documentation providing evidence for their *sustained* excellence in teaching and sustained general and/or administrative contributions and service in support of their institution's academic priorities. Applicants should provide examples and evidence in their application of any contribution they feel would support their case for progression.

Most successful proposals for Scheme B will result in the award of one contribution increment; exceptional cases would need to be made for the award of two increments (up to point 63). If a candidate is successful, this level of contribution then becomes the normal expectation for that Associate Professor and the same evidence will not attract additional contribution rewards in future. It would not normally be expected that an application for increments from the same Associate Professor Grade 10 candidate is made in two successive exercises. However, if one increment has been awarded in a previous exercise, an application can be made for an additional increment in a subsequent exercise.

Applicants cannot apply for both promotion via Scheme A and for pay progression via Scheme B in the same exercise.

#### **Procedure**

Potential applicants are expected to seek advice from their Head of Institution before deciding whether to apply. Heads of Institution, with the assistance of appropriate senior colleagues, if necessary, should ensure they review the contributions of each of their eligible Associate Professors (Grade 10), so that all cases that meet the criteria are brought forward for consideration. The decision on whether to submit an application will ultimately sit with the individual; however, Heads of Institution should encourage applications from individuals that meet the criteria and discuss any perceived barriers that may be preventing an individual from applying. This ensures any Contextual Factors or COVID-related impacts that may have been overlooked are considered and promotes equal opportunities for all staff members, including those staff from groups that are underrepresented at senior levels.

The Staff Review and Development (SRD) scheme, whilst remaining a separate and independent mechanism for reviewing personal contribution, should be used to discuss career aspirations and assess an individual's readiness for progression. It is essential that these types of discussions are taking place on a regular and ongoing basis throughout the course of an individual's career. Heads of Institutions are expected to undertake annual performance appraisals with staff as part of the SRD scheme, and it is recommended that

the appraisal undertaken in the last 12 months is used to support an individual's application. Applications follow the same process and timetable as applications under the ACP R&T scheme and each application for Scheme B will progress through the same Committee stages. The Chair of the FC, supported by the Secretary, and seeking the advice from the members of Committee by circulation as appropriate, will decide in each case who should provide the Institutional Statement and confirm the name of an internal referee, nominated by the applicant. References will be sought following the closure of the application window, in time for consideration by the FC.

The FC will consider the documentation for each application for Scheme B and agree collectively the evaluation for each applicant, documenting in each case whether the application is supported and the reasons for this decision. The Committee will then rank the applicants according to the strength of their applications. Applications will then follow the same process as those for the ACP R&T scheme i.e. progressing through the School Committee (SC) and Vice-Chancellor's Committee (VCC).

Applicants will be advised of the outcome of their application in line with the ACP R&T exercise and unsuccessful applicants may request feedback on their application from their Head of Institution.

There is no right of appeal against the outcome.

Application	Applicant nominates	Faculty Committee nominates	Total Referees
Associate Professor (Pay Progression Scheme B)	1 internal referee	FC confirms referee nomination	1

<sup>\*</sup>Note, the internal referee is in addition to the statement provided by the Head of Institution

	Progression/Promotion Scheme A	Pay Progression Scheme B
	For Assistant Professors and Associate Professors at Grade 9 to progress to Associate Professor Grade 10.	For contribution progression increments to be awarded to Associate Professors at the top of Grade 10 for significant and sustained contributions other than through research.
Principles	The assessment of contribution made by the Assistant/Associate Professor (Grade 9) will be based on the evidence provided that supports achievement of the Assessment Criteria for an Associate Professor Grade 10.	The assessment of contribution by the USL/Associate Professor should be clearly related to the institution's strategic plans and should recognise the Associate Professor's achievement in teaching and general service to the community, which is likely to contribute to the future academic success of the University.
Eligibility	An Assistant/Associate Professor Grade 9 would normally be expected to have been in post for at least 12 months before they are eligible to apply for progression to Grade 10. Exceptionally, this period may be waived where the officer is considered ready for promotion by the Head of Institute. Any exceptions will require approval from the Faculty Committee, and support from the School Committee.	Only Associate Professors who are paid at the top of the service points (Grade 10, point 61) are eligible for consideration. For holders of unestablished posts whose contract of employment specify the title 'Associate Professor' at Grade 10, the same eligibility criteria apply. In all cases, institutions should ensure that sufficient funding is identified to support applications for progression and ongoing salary costs. Queries concerned with funding should be raised with the relevant School Finance Manager in the first instance.
Criteria	Progression to Grade 10 will be approved for those applicants who can demonstrate achievement of the Assessment Criteria for an Associate Professor at Grade 10.	<ul> <li>Contribution increments may be awarded to applicants who meet the following criteria:</li> <li>Outstanding and sustained excellence in teaching, and</li> <li>Outstanding and sustained service and contributions to the University and the academic community beyond the University.</li> <li>Evidence that they meet the above criteria should be provided, with reference to the Teaching and Researcher Development and Service to the University and the Academic Community criteria for Associate Professor (Grade 10).</li> <li>The Head of Institution is expected to comment and provide evidence in their statement of how the applicant has achieved these criteria. Most successful proposals will result in the award of one contribution increment. Exceptional cases would need to be made for the award of two increments (up to point 63).</li> </ul>
Contribution Reward	Successful applicants under the progression scheme from Grade 9 to Grade 10 will be placed on the first scale point of Grade 10 (point 59).	If a candidate is successful, this level of contribution then becomes the normal expectation for that Associate Professor (Grade 10). Therefore, the same evidence will not attract additional contribution rewards in future. It would not normally be expected that an application for increments from the same Associate Professor (Grade 10) candidate is made in two successive exercises; however, if one increment has been awarded in a previous exercise, an application can be made for an additional increment in a subsequent exercise.

